



BUSINESS CONTINUITY PLAN CLIENT DISCLOSURE STATEMENT

for

Moreton Asset Management, LLC

Moreton Asset Management, LLC, has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.

Contacting Us – If after a significant business disruption you cannot contact us at the number you would normally use (our office group number 801-869-4200), you can contact us at (801) 647-1632/, or our alternative numbers: (801) 755-8054 or (801) 455-5887. We can also be reached via our group email address; Team@MoretonAdvisors.com

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption and respond by safeguarding our associated persons and property, making a financial and operational assessment and protecting the firm's books and records. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption. Our business continuity plan addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, associated persons, and regulators; alternate physical location of associated persons; critical supplier, contractor, bank and counter-party impact.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and expect to recover and resume business within 1 business day. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and recover and resume business within 2 business days. In either situation, we plan to continue in business, and notify you through email and/or our customer emergency numbers, (801) 647-1632, (801) 755-8054 on how to contact us. If the significant business disruption is so severe that it prevents us from remaining in business we will assure our clients prompt interface with the respective companies and entities that have provided products to the client.

For more information – If you have questions about our business continuity planning, you can contact us at (801) 869-4200 or via email at Team@MoretonAdvisors.com. The custodians' contact info is:

Wells Fargo: David Bergstrom, (612) 466-5560, (612) 708-3215, David.Bergstrom@WellsFargo.com.
US Bank: Ibrahima Kane, 513-632-4038, Ibrahima.Kane@USBank.com.

For information on your account, you will need to provide them with your account number, and proof that you are an authorized signer on the account.

This plan is subject to modification and customers may alternatively obtain updated summaries by requesting a written copy by mail.

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